CLAYTON RODGERS

SUMMARY

Equity Trader, Operations Manager, and Information Technology professional with a zeal for eliminating inefficiencies, developing people, and advancing strategic objectives. Seeking a progressive opportunity in which I can continue to leverage and enhance my business management and technical skills in tandem.

CREDENTIALS

University of Dallas
2013 – Present
Masters of Business Administration
Cybersecurity

Texas A&M Commerce
2009 - 2011
Bachelor of Science
Business Administration

North Lake College
2009
Associate in Science
Computer Science

FINRA
Series 7, 25, 55

KNOWLEDGEBASE

- ADVENT AXYS/TAMALE
- ADWORDS
- ANGULARJS
- AUTOHOTKEY/AUTOIT
- BAT SCRIPTING
- BIDS
- BLOCKCROSS
- BLOOMBERG
- CAPITAL IQ
- CHARLES RIVER
- CISCO ASDM
- CITRIX
- CSS3
- DRUPAL
- FACTSET
- FINRA OATS
- FLEXTRADE
- HTML5
- ITG POSIT
- JAVASCRIPT
- MICROSOFT OFFICE
- OMGEO CTM/OASYS
- PROTRAK CRM
- REALTICK
- RESPONSIVE DESIGN
- SCRIPTING
- SQL
- STOCKVAL
- THOMSON EIKON
- TRADEBOOK
- VISUAL BASIC
- VMWARE
- WEBSTORM
- WORDPRESS
- ZACKS ZRS
- FOUNDATION

WORK EXPERIENCE

Holdings Manager, LLC. // 2017 – CURRENT
Principal Consultant
Founder of an agency business offering a suite of services to asset managers including SEC Filings, RFP Preparations, Database Distributions, and Operations Process Automation.

Finance
- Quantitative & Qualitative Consultant Database Distributions
- Quarterly SEC Filings Management
- RFP Preparations
- Portfolio Analytics

Technology
- BOD & EOD Reporting Automation
- Custom Excel VBA Macros
- OMS Implementations

HODGES CAPITAL MANAGEMENT // 2015 – 2017
DIRECTOR OF INVESTING OPERATIONS & DIRECTOR OF TECHNOLOGY
Manager overseeing Operations & Technology while expanding the firm's Institutional product offerings.

Finance
- Managed Back-Office & Trading Operations
- Completed RFPs, Portfolio Analytics, Database Distributions, and Annual Reports.
- Responsible for GIPS Compliance, and Institutional Relationships.

Technology
- Directed Technology Firm Wide
- Automated Affirm/Confirm Process
- Developed Custom Reporting Engine for Annual Reports

STUART FRANKEL & CO. // 2014 – 2015
CHIEF INFORMATION OFFICER & NYSE FLOOR TRADER
Agency trader representing institutional clients on the floor of the New York Stock Exchange and concurrently serving as CIO.

Finance
- Received, executed and allocated client orders
- Created pre/post trade reports
- Provided fundamental, technical and macro color

Technology
- Integrated the NYSE, Back Office and Disaster Recovery technology.
- Created custom automation solutions.

Barrow Hanley Mewhinney & STRAUSS // 2005 – 2014
EQUITY TRADER & IT MANAGER
Generated, executed, and allocated institutional equity trades. Automated reconciliation of research, soft, directed and CSA accounts. Technical support lead reporting directly to CTO. Responsible for creating interface solutions between business systems, process automation, Help Desk, and managing technology infrastructure.

Finance
- Institutional Equity Trading
- Commissions Reconciliation
- Filter and distribute relevant news
- Maintain broker relationships
- OMS/EMS Implementation

Technology
- Help Desk & Infrastructure
- Assisted operations team by crafting custom processes to simplify workflows.
- Automated diversification reports